

Constituency Parsing

Data structures and algorithms for Computational Linguistics III

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Context free grammars

recap

- Context free grammars are sufficient for expressing most phenomena in natural language syntax
- Most of the parsing theory (and quite some of the practice) is build on parsing CF languages
- The context-free rules have the form

$$A \rightarrow \alpha$$

where A is a single non-terminal symbol and α is a (possibly empty) sequence of terminal or non-terminal symbols

An example context-free grammar

S \rightarrow NP VP Derivation of sentence 'she saw a duck'

S \rightarrow Aux NP VP

NP \rightarrow Det N

NP \rightarrow Prn

NP \rightarrow NP PP

VP \rightarrow V NP

VP \rightarrow V

VP \rightarrow VP PP

PP \rightarrow Prp NP

N \rightarrow duck

N \rightarrow park

V \rightarrow duck

V \rightarrow ducks

V \rightarrow saw

Prn \rightarrow she | her

Prp \rightarrow in | with

Det \rightarrow a | the

S \Rightarrow NP VP

NP \Rightarrow Prn

Prn \Rightarrow she

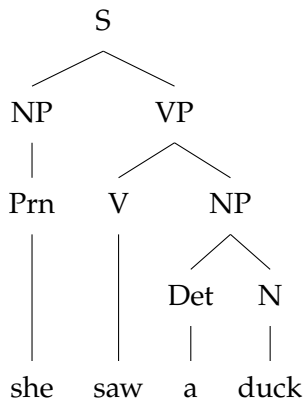
VP \Rightarrow V NP

V \Rightarrow saw

NP \Rightarrow Det N

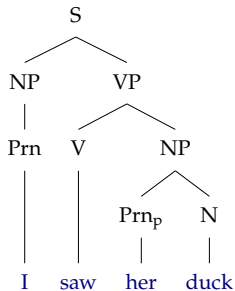
Det \Rightarrow a

N \Rightarrow duck



Representations of a context-free parse tree

A parse tree:



A history of derivations:

- $S \Rightarrow NP VP$
- $NP \Rightarrow Prn$
- $Prn \Rightarrow I$
- $VP \Rightarrow V NP$
- $V \Rightarrow \text{saw}$
- $NP \Rightarrow Prn_p N$
- $Prn_p \Rightarrow \text{her}$
- $N \Rightarrow \text{duck}$

A sequence with (labeled) brackets

$$\left[{}_S \left[{}_{NP} \left[{}_{Prn} I \right] \right] \left[{}_{VP} \left[{}_V \text{saw} \right] \left[{}_{NP} \left[{}_{Prn_p} \text{her} \right] \left[{}_N \text{duck} \right] \right] \right] \right]$$

Parsing as search

- Parsing can be seen as search constrained by the grammar and the input
- Top down: start from S , find the derivations that lead to the sentence
- Bottom up: start from the sentence, find series of derivations (in reverse) that leads to S
- Search can be depth first or breadth first for both cases

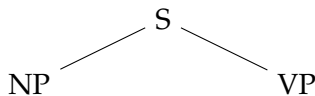
Parsing as search: top down

S

she saw a duck

S → NP VP
 S → Aux NP VP
 NP → Det N
 NP → Prn
 NP → NP PP
 VP → V NP
 VP → V
 VP → VP PP
 PP → Prp NP
 N → duck
 N → park
 V → duck
 V → ducks
 V → saw
 Prn → she | her
 Prp → in | with
 Det → a | the

Parsing as search: top down



she saw a duck

S → NP VP

S → Aux NP VP

NP → Det N

NP → Prn

NP → NP PP

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N → duck

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V → duck

V → ducks

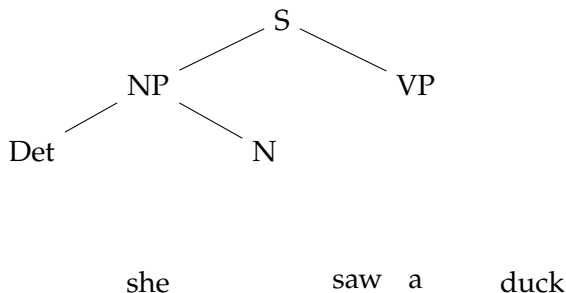
V → saw

Prn → she | her

Prp → in | with

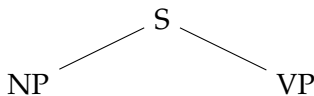
Det → a | the

Parsing as search: top down



- S → NP VP
- S → Aux NP VP
- NP → Det N
- NP → Prn
- NP → NP PP
- VP → V NP
- VP → V
- VP → VP PP
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- Prp → in | with
- Det → a | the

Parsing as search: top down

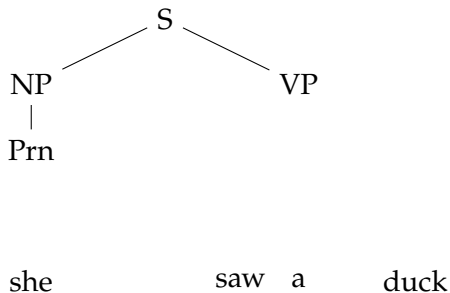


Backtrack!

she saw a duck

- S → NP VP
- S → Aux NP VP
- NP → Det N
- NP → Prn
- NP → NP PP
- VP → V NP
- VP → V
- VP → VP PP
- PP → Prp NP
- N → duck
- N → park
- V → duck
- V → ducks
- V → saw
- Prn → she | her
- Prp → in | with
- Det → a | the

Parsing as search: top down



S → NP VP

S → Aux NP VP

NP → Det N

NP → Prn

NP → NP PP

VP → V NP

VP → V

VP → VP PP

PP → Prp NP

N → duck

N → park

V → duck

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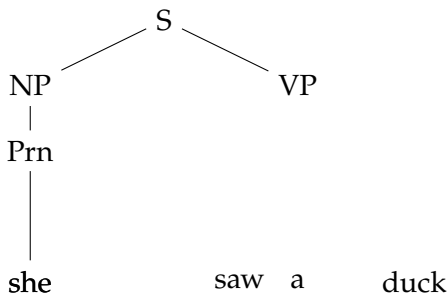
V → saw

Prn → she | her

Prp → in | with

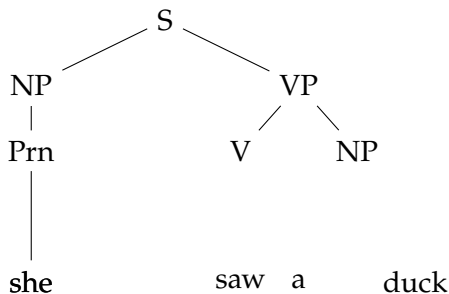
Det → a | the

Parsing as search: top down



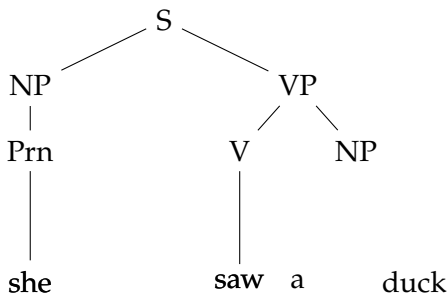
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Parsing as search: top down



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Parsing as search: top down



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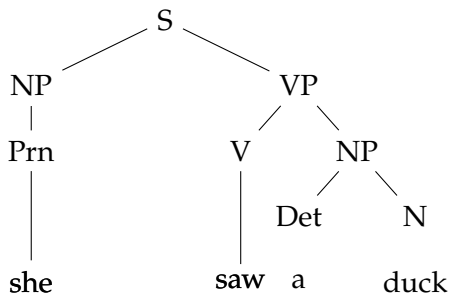
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Parsing as search: top down



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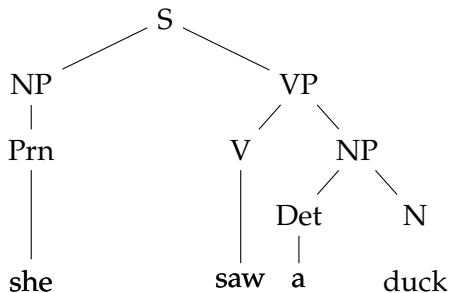
V → saw

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Parsing as search: top down



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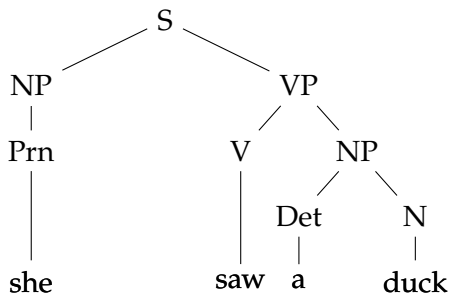
V → saw

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Parsing as search: top down



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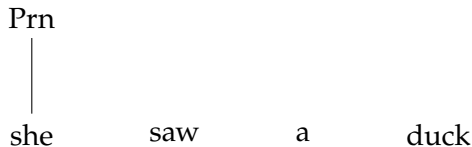
Det → a | the

Parsing as search: bottom up

S \rightarrow NP VP
 S \rightarrow Aux NP VP
 NP \rightarrow Det N
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 VP \rightarrow V
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 V \rightarrow saw
 Prn \rightarrow she | her
 Prp \rightarrow in | with
 Det \rightarrow a | the

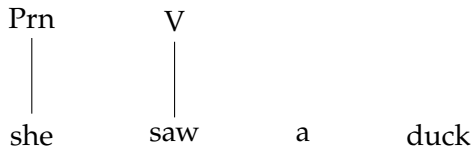
she saw a duck

Parsing as search: bottom up



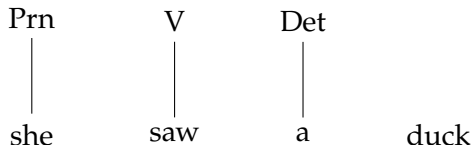
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Parsing as search: bottom up



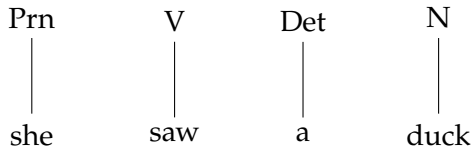
- S → NP VP
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- V → ducks
- V** → saw
- Prn → she | her
- Prp → in | with
- Det → a | the

Parsing as search: bottom up



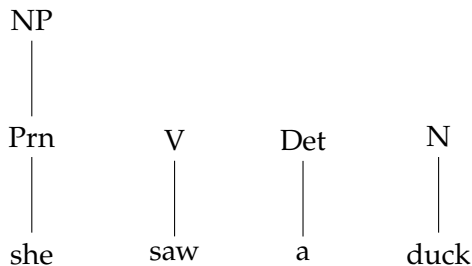
- S → NP VP
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- NP → Det N
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- Prp → in | with
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Parsing as search: bottom up



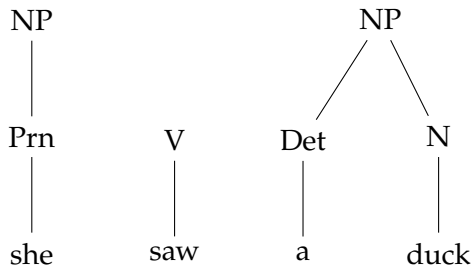
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Parsing as search: bottom up



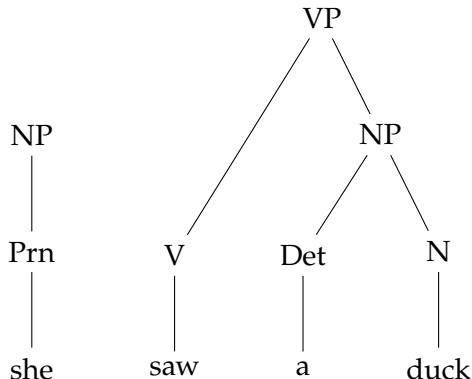
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Parsing as search: bottom up



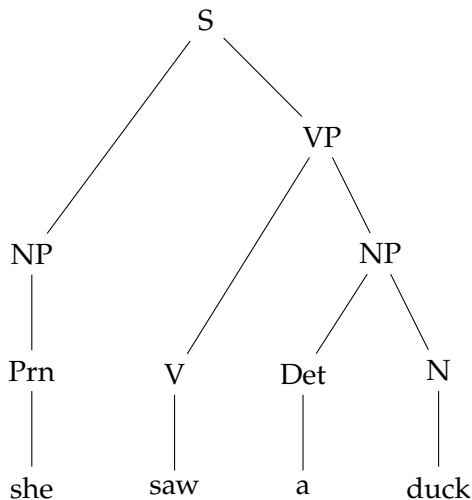
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Parsing as search: bottom up



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Parsing as search: bottom up



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S	→ Aux NP VP
NP	→ Det N
NP	→ Prn
NP	→ NP PP
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PP	→ Prp NP
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V	→ duck
V	→ ducks
V	→ saw
Prn	→ she her
Prp	→ in with
Det	→ a the

Problems with search procedures

- Top-down search considers productions incompatible with the input, and cannot handle left recursion
 - Bottom-up search considers non-terminals that would never lead to S
 - Repeated work because of backtracking
- The result is exponential time complexity in the length of the sentence

Some of these problems can be solved using
dynamic programming.

CKY algorithm

- The CKY (Cocke–Kasami–Younger) parsing algorithm is a dynamic programming algorithm (Kasami 1965; Younger 1967; Cocke and Schwartz 1970)
- It processes the input *bottom up*, and saves the intermediate results on a *chart*
- Time complexity for *recognition* is $O(n^3)$
- Space complexity is $O(n^2)$
- It requires the CFG to be in *Chomsky normal form* (CNF)

Chomsky normal form (CNF)

- A CFG is in CNF, if the rewrite rules are in one of the following forms
 - $A \rightarrow B C$
 - $A \rightarrow a$where A, B, C are non-terminals and a is a terminal
- Any CFG can be converted to CNF
- Resulting grammar is *weakly equivalent* to the original grammar:
 - it generates/accepts the same language
 - but the derivations are different

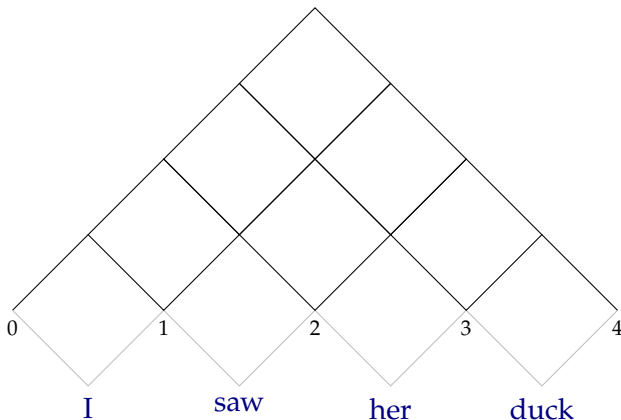
Converting to CNF: example

- For rules with > 2 RHS symbols
 $S \rightarrow \text{Aux NP VP} \Rightarrow S \rightarrow \text{Aux X}$
 $X \rightarrow \text{NP VP}$
- For rules with < 2 RHS symbols
 $\text{NP} \rightarrow \text{Prn} \Rightarrow \text{NP} \rightarrow \text{she} \mid \text{her}$

$S \rightarrow \text{NP VP}$
 $S \rightarrow \text{Aux NP VP}$
 $\text{NP} \rightarrow \text{Det N}$
 $\text{NP} \rightarrow \text{Prn}$
 $\text{NP} \rightarrow \text{NP PP}$
 $\text{VP} \rightarrow \text{V NP}$
 $\text{VP} \rightarrow \text{V}$
 $\text{VP} \rightarrow \text{VP PP}$
 $\text{PP} \rightarrow \text{Prp NP}$
 $\text{N} \rightarrow \text{duck}$
 $\text{N} \rightarrow \text{park}$
 $\text{V} \rightarrow \text{duck}$
 $\text{V} \rightarrow \text{ducks}$
 $\text{V} \rightarrow \text{saw}$
 $\text{Prn} \rightarrow \text{she} \mid \text{her}$
 $\text{Prp} \rightarrow \text{in} \mid \text{with}$
 $\text{Det} \rightarrow \text{a} \mid \text{the}$

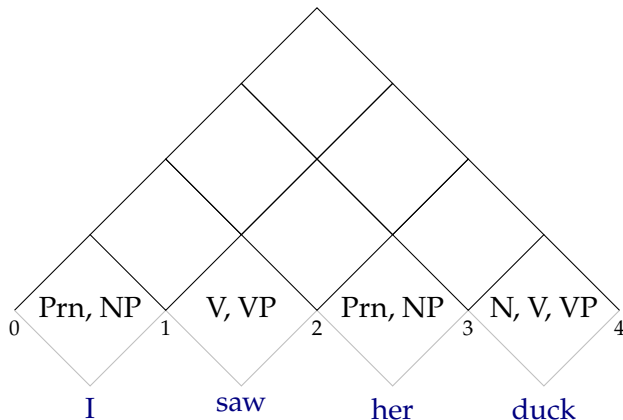
CKY demonstration

an ambiguous example



CKY demonstration

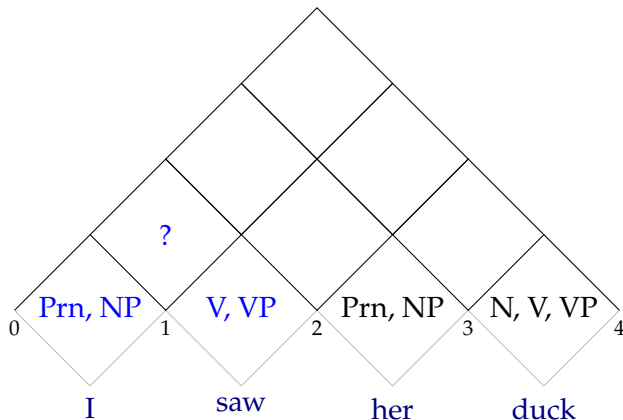
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CKY demonstration

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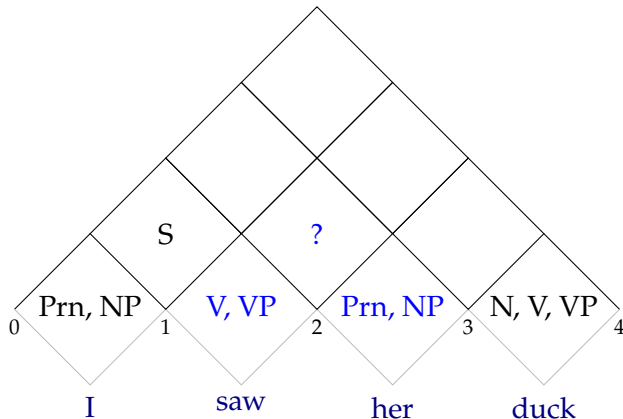
$S \rightarrow NP VP$



CKY demonstration

an ambiguous example

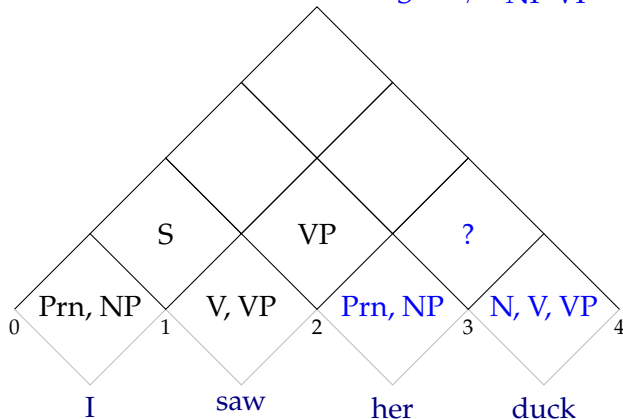
VP \rightarrow V NP



CKY demonstration

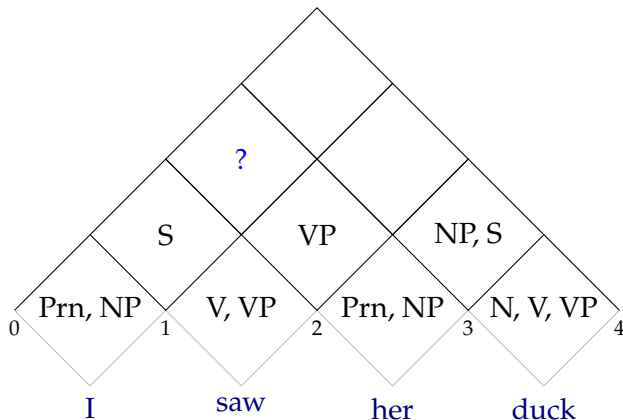
an ambiguous example

NP \rightarrow Prn N
 S \rightarrow NP VP



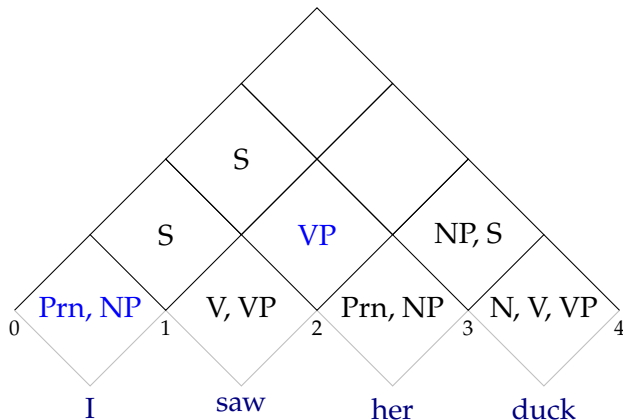
CKY demonstration

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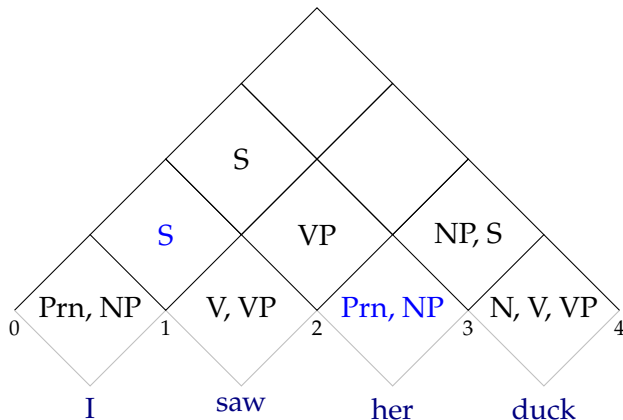
CKY demonstration

an ambiguous example

$$S \rightarrow NP VP$$


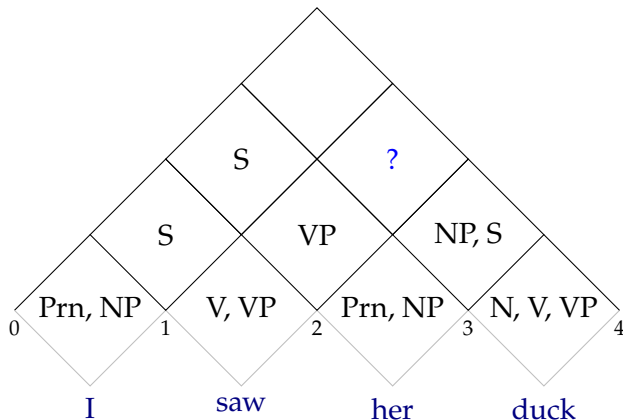
CKY demonstration

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CKY demonstration

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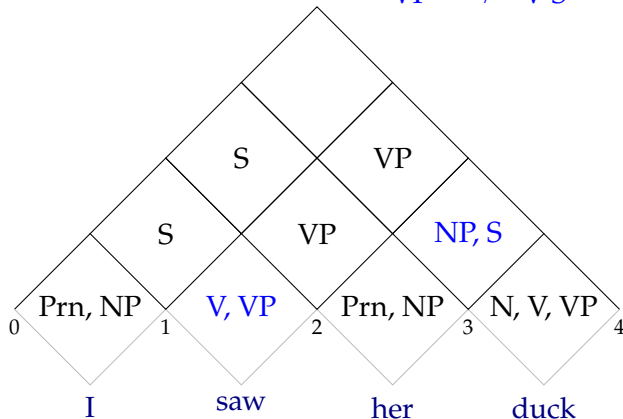


CKY demonstration

an ambiguous example

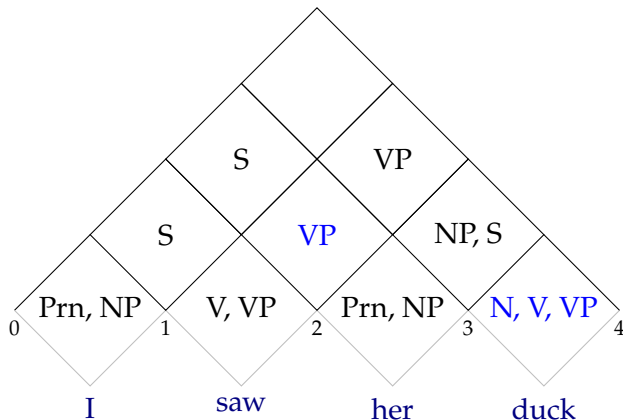
VP \rightarrow V NP

VP \rightarrow V S



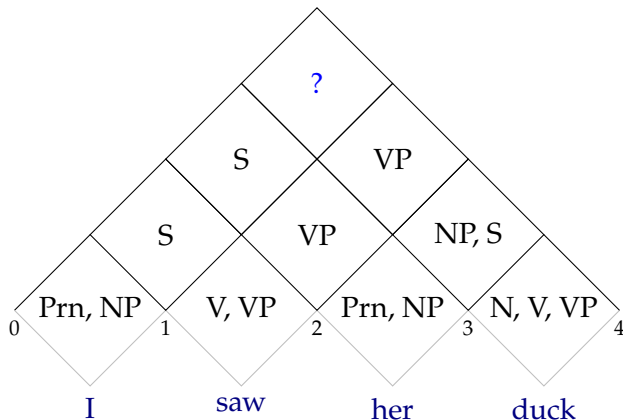
CKY demonstration

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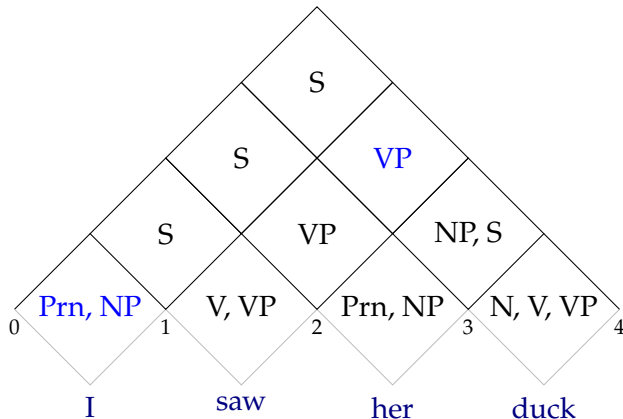
CKY demonstration

an ambiguous example



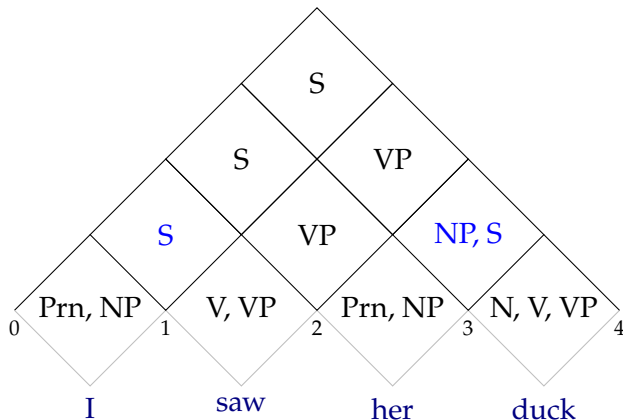
CKY demonstration

an ambiguous example

$$S \rightarrow NP VP$$


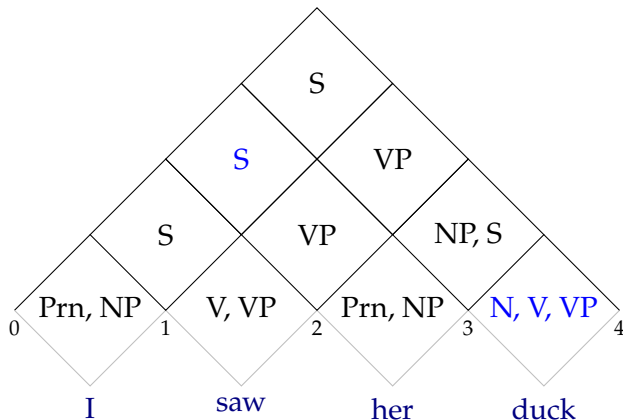
CKY demonstration

an ambiguous example



CKY demonstration

an ambiguous example



CKY demonstration: the chart

	NP, Prn	S	S	S	
		V, VP	VP	VP	
			Prn	NP, S	
				V, N, NP	
0	she	1	saw	2	her
				3	duck
					4

Chart is a 2-dimensional array: $O(n^2)$ space complexity.

Parsing vs. recognition

- We went through a recognition example
- Recognition accepts or rejects a sentence based on a grammar
- For parsing, we want to know the derivations that yielded a correct parse
- To recover parse trees, we
 - we follow the same procedure as recognition
 - add back links to keep track of the derivations

Chart parsing example (CKY parsing)

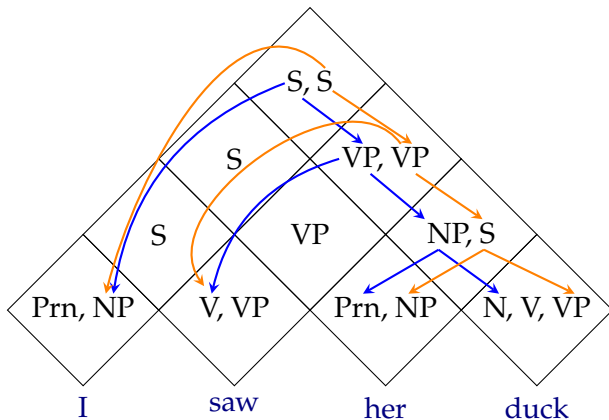
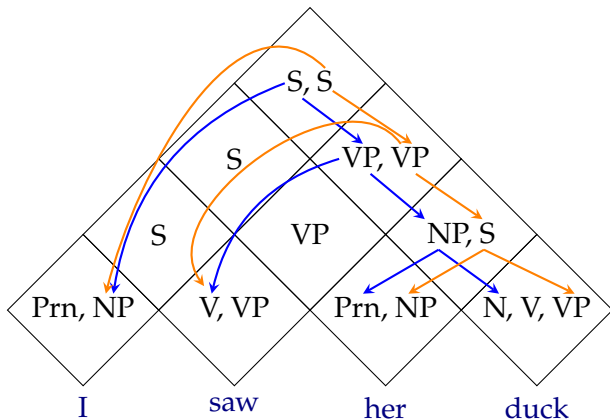


Chart parsing example (CKY parsing)



The chart stores a *parse forest* efficiently.

CKY summary

- + CKY avoids re-computing the analyses by storing the earlier analyses (of sub-spans) in a table
- It still computes lower level constituents that are not allowed by the grammar
- CKY requires the grammar to be in CNF
 - CKY has $O(n^3)$ recognition complexity
 - For parsing we need to keep track of backlinks
 - CKY can efficiently store all possible parses in a chart
 - Enumerating all possible parses have exponential complexity (worst case)

Earley algorithm

- Earley algorithm is a top down (and left-to-right) parsing algorithm (Earley 1970)
- It allows arbitrary CFGs
- Keeps record of constituents that are
 - predicted using the grammar (top-down)
 - in-progress with partial evidence
 - completed based on input seen so farat every position in the input string
- Time complexity is $O(n^3)$

Earley chart entries (states or items)

Earley chart entries are CF rules with a 'dot' on the RHS representing the state of the rule

- $A \rightarrow \bullet \alpha[i, i]$ predicted without any evidence (yet)
- $A \rightarrow \alpha \bullet \beta[i, j]$ partially matched
- $A \rightarrow \alpha \beta \bullet [i, j]$ completed, the non-terminal A is found in the given span

Earley algorithm: an informal sketch

1. Start at position 0, predict S
2. Predict all possible states (rules that apply)
3. Read a word
4. Update the table, advance the dot if possible
5. Go to step 2
6. If we have a completed S production at the end of the input, the input is recognized

Earley algorithm: three operations

Predictor adds all rules that are possible at the given state

Completer adds states from the earlier chart entries that match the completed state to the chart entry being processed, and advances their dot

Scanner adds a completed state to the next chart entry if the current category is a POS tag, and the word matches

Earley parsing example (chart[0])

0	she	1	saw	2	a	3	duck	4
state	rule			position				operation
0	$\gamma \rightarrow \bullet S$			[0,0]				initialization
1	$S \rightarrow \bullet NP VP$			[0,0]				predictor
2	$S \rightarrow \bullet Aux NP VP$			[0,0]				predictor
3	$NP \rightarrow \bullet Det N$			[0,0]				predictor
4	$NP \rightarrow \bullet NP PP$			[0,0]				predictor
5	$NP \rightarrow \bullet Prn$			[0,0]				predictor

Note: the chart[0] is independent of the input.

$S \rightarrow NP VP$
 $S \rightarrow Aux NP VP$
 $NP \rightarrow Det N$
 $NP \rightarrow Prn$
 $NP \rightarrow NP PP$
 $VP \rightarrow V NP$
 $VP \rightarrow V$
 $VP \rightarrow VP PP$
 $PP \rightarrow Prp NP$
 $N \rightarrow duck$
 $N \rightarrow park$
 $V \rightarrow duck$
 $V \rightarrow ducks$
 $V \rightarrow saw$
 $Prn \rightarrow she \mid her$
 $Prp \rightarrow in \mid with$
 $Det \rightarrow a \mid the$
 $Aux \rightarrow does \mid has$

Earley parsing example (chart[1])

0	she	1	saw	2	a	3	duck	4
state	rule			position	operation			
6	Prn \rightarrow she •			[0,1]	scanner			
7	NP \rightarrow Prn •			[0,1]	completer			
8	S \rightarrow NP •VP			[0,1]	completer			
9	NP \rightarrow NP •PP			[0,1]	completer			
10	VP \rightarrow •V NP			[1,1]	predictor			
11	VP \rightarrow •V			[1,1]	predictor			
12	VP \rightarrow •VP PP			[1,1]	predictor			
13	PP \rightarrow •Prp NP			[1,1]	predictor			

Earley parsing example (chart[2])

0	she	1	saw	2	a	3	duck	4
state	rule			position			operation	
14	$V \rightarrow \text{saw} \bullet$			[1,2]			scanner	
15	$VP \rightarrow V \bullet NP$			[1,2]			completer	
16	$VP \rightarrow V \bullet$			[1,2]			completer	
17	$NP \rightarrow \bullet \text{Det N}$			[2,2]			predictor	
18	$NP \rightarrow \bullet NP PP$			[2,2]			predictor	
19	$NP \rightarrow \bullet \text{Prn}$			[2,2]			predictor	
20	$S \rightarrow NP VP \bullet$			[0,2]			predictor	

Earley parsing example (chart[3])

0	she	1	saw	2	a	3	duck	4
state	rule			position			operation	
21	Det \rightarrow a •			[2,3]			scanner	
22	NP \rightarrow Det • N			[2,3]			completer	

Earley parsing example (chart[4])

0	she	1	saw	2	a	3	duck	4
state	rule			position			operation	
23	N → duck •			[3,4]			scanner	
24	V → duck •			[3,4]			scanner	
25	NP → Det N •			[2,4]			completer	
26	VP → V NP •			[1,4]			completer	
27	S → NP VP •			[0,4]			completer	

Earley parsing: summary

- Top-down approach with bottom-up filtering (better filtering may be achieved with lookahead)
- It can process any CFG (no need for CNF)
- Complexity is the same as CKY
 - time complexity : $O(n^3)$
 - space complexity: $O(n^2)$
- Our examples show recognition, we need to maintain backlinks for parsing
- Again, Earley chart stores a parse forest compactly, but extracting all trees may require exponential time

An exercise

Construct the CKY and Earley charts for the following sentence

The duck she saw is in the park

Recommended grammar:

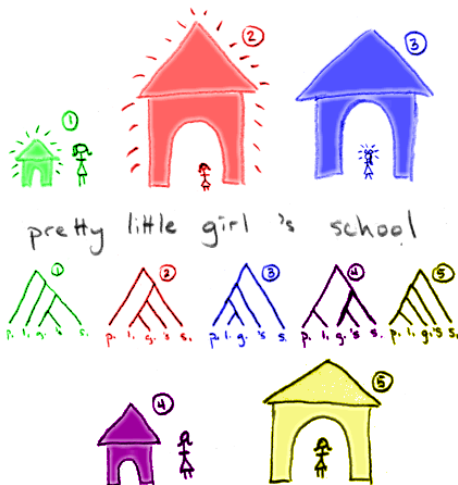
S	→ NP VP	PP	→ Prp NP
NP	→ Det N	N	→ park
NP	→ Prn	N	→ duck
NP	→ NP PP	V	→ duck
NP	→ NP S	V	→ saw
VP	→ V NP	Prn	→ she
VP	→ V	Prp	→ in
VP	→ VP PP	Det	→ the

Summary: context-free parsing algorithms

- Naive search for parsing is intractable
- Dynamic programming algorithms allow polynomial time recognition
- Parsing may still be exponential in the worse case
- Charts represent ambiguity, but cannot say anything about which parse is the best

Pretty little girl's school

Natural languages and ambiguity



Cartoon Theories of Linguistics, SpecGram Vol CLIII, No 4, 2008. <http://specgram.com/CLIII.4/school.gif>

Some more examples

- Lexical ambiguity
 - *She is looking for a match*
 - *We saw her duck*
- Attachment ambiguity
 - *I saw the man with a telescope*
 - *Panda eats bamboo shoots and leaves*
- Local ambiguity (garden path sentences)
 - *The horse raced past the barn fell*
 - *The old man the boats*
 - *Fat people eat accumulates*

We use statistical methods for dealing with ambiguity
(not in this course).

References / additional reading material

- Jurafsky and Martin (2009, Chapter 13)

References / additional reading material (cont.)

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- Jurafsky, Daniel and James H. Martin (2009). *Speech and Language Processing: An Introduction to Natural Language Processing, Computational Linguistics, and Speech Recognition*. second. Pearson Prentice Hall. ISBN: 978-0-13-504196-3.
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